

## FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT &amp; TRADEMARK OFFICE

LIST OF PRIOR ART CITED BY APPLICANT

DOCKET NO : 3499-91      SERIAL NO :  
 APPLICANT : Greener et al.      EXAMINER : Not assigned  
 FILING DATE :      GROUP ART UNIT

U.S. PATENT DOCUMENTS

<u>*EXAMINER'S INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>DATE</u>
ss	5,884,274	705	4	3/16/99
ss	5,897,621	705	26	4/27/99

FOREIGN PATENT DOCUMENTS

<u>*EXAMINER'S INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>DATE</u>	<u>COUNTRY</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>TRANSLATION YES</u>	<u>NO</u>
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OTHER PRIOR ART(INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)\*EXAMINER

SS. Currenex web site, <<http://www.currenex.com>>;

Homepage, <<http://www.currenex.com/home.html>>

Foreign Exchange Market, <<http://www.currenex.com/foreign.html>>

About Currenex, <<http://www.currenex.com/about.html>>

FAQ, <<http://www.currenex.com/faq.html>>

FX Trades Services, <<http://www.currenex.com/services.html>>.

SS. "CMGI and Netcentives Announce Strategic Infrastructure Alliance for Network-Wide, Online Points-Based Rewards Program", Business Wire, April 10, 2000.

SS. "Citigroup and America Online Announce Major Strategic Online Financial Alliance", Business Wire, July 18, 2000.

SS. "Citigroup, AOL in Major Strategic Alliance", AFX European Focus, July 18, 2000, Company News.

SS. "Citigroup and AOL Form Pact Allowing Cash to Be E-Mailed", The Wall Street Journal, July 19, 2000, C23.

SS. "Currenex Launches Multi-Bank Web Based FX Trading System", fxweek, May 1, 2000, Vol. 11, No. 18.

- ~~SS~~ "Netscape, Citibank Unveil Credit Card", Dow Jones News Service, January 19, 2000.
- ~~SS~~ Green, Meg, "Citigroup Credits Growing Business with 23% Second-Quarter Net Increase", BestWire, July 19, 2000.
- ~~SS~~ Green, Meg, "Citigroup, AOL Team Up to Sell Products Online", BestWire, July 19, 2000.
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- ~~SS~~ Power, Carol, "AOL Digs Deeper Into Virtual Financial World", The American Banker, August 11, 1999, On-line Banking 1.
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- ~~SS~~ Rogerson, Paul, "The Right to Choose", The Accountant, August 1, 1999, 12.
- ~~SS~~ Tompor, Susan, "Does it Make Sense to Spread the Wealth Around?", The Florida Times-Union, July 11, 1999, H1.
- ~~SS~~ Wilkinson, Claire, "Insurance Review: Citigroup in AOL Online Alliance Plan", Lloyd's List, July 21, 2000, 8.

INITIALSEXAMINER: Sandra Sharp DATE CONSIDERED: 2/12/4

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



O I P E  
JAN 16 2002

U.S. Patent and Trademark Office  
INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)

Serial No. 09/714,315  
Inventors: Greener et al.  
Docket No. 3499-091

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SS	5,787,402	7/28/98	Potter et al.	705	37	10/8/96
SS	5,963,923	10/5/99	Garber	705	37	6/3/97

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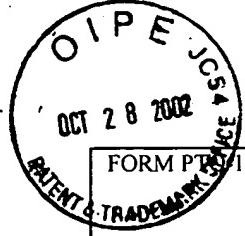
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION

## OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
SS	International Search Report for PCT/US01/21812 dated October 18, 2001

EXAMINER	DATE CONSIDERED
<i>Sandra Sapp</i>	2/12/04
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Form PTO-1449 [6-4]



FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				Serial No. 09/714,315
				Inventors: Greener et al.
				Docket No. 3499-91

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION
SS	WO 02/11018	2/7/02	WO	Yes

### OTHER DOCUMENTS

EXAMINER INITIAL		AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
SS		Int'l Search Report dated September 19, 2002 for PCT/US01/51200.

EXAMINER	<i>Sandra Snapp</i>	DATE CONSIDERED <i>2/12/04</i>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

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